

08-29-01

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08/27/01
1002 U.S. PTOPATENT APPLICATION
Docket No: 11004US08 / 100-224.P1.C41002 U.S. PTO
120226/60
08/27/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CONTINUING APPLICATION TRANSMITTAL UNDER 37 CFR §1.53(b)

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

Sir:

This is a request under 37 CFR §1.53 for filing a

- ☒ continuation application.
☐ divisional application.

1. Particulars of Prior Application

Application Serial No: 09/220,427

U.S. Filing Date: December 24, 1998

Title: THERAPEUTIC USES OF
BACTERICIDAL/PERMEABILITY INCREASING
(BPI) PROTEIN PRODUCTS

Art Unit: 1647

Examiner: D. Romeo

Prior Docket No.: 11004US07

CERTIFICATION UNDER 37 CFR §1.10

I hereby certify that this Continuing Application Transmittal Under 37 CFR §1.53(b) and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on August 27, 2001 in an postage paid envelope addressed to BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EL848968254US.

J. R. Blackburn
Jimme R. Blackburn

2. This request is filed by:

1. Full Name of Inventor	Family Name Little, II	First Given Name Roger	Second Given Name G.
Residence & Citizenship	City Benicia	State or Foreign Country California	Country of Citizenship United States
Post Office Address	Post Office Address 620 Rose Drive	City Benicia	State & Zip Code/Country California, 94510

2. Full Name of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country

3. Full Name of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country

- ☐ This application is being filed by less than all the inventors named in the prior application. An accompanying statement requests deletion of the name(s) of the person(s) who are not inventors of the invention being claimed in this application.

3. **Amendments**

- ☒ Delete the first paragraph before "BACKGROUND OF THE INVENTION", and insert instead the following as a separate paragraph:
- This is a continuation of U.S. Application No. 09/220,427 filed December 24, 1998 which is a continuation of 08/466,826 filed June 6, 1995 which is a continuation of 08/415,158 filed March 31, 1995 which is a file-wrapper-continuation of 08/093,202 filed July 15, 1993 which is a continuation-in-part of 08/030,644 filed March 12, 1993.
- ☐ Cancel claims in the prior application before calculating the filing fee.
- ☐ A Preliminary Amendment is enclosed.
- ☐ The filing fee is based upon entry of the foregoing amendment(s) (if any).

4. **Copy of Prior Application**

The enclosed is a copy of the prior application, including the specification (with claims) and drawings, the oath or declaration, and any amendments referred to in the oath or declaration filed to complete the prior application.

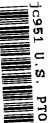
5. **Incorporation By Reference**

The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. **Priority**

- ☐ Priority of application No. _____, filed on _____ in _____ is claimed under 35 USC § 119.

08/28/01



- ☐ The certified copy(ies) was(were) filed in prior U.S. Application No. _____.
- ☐ The certified copy(ies) has(have) not been filed.

7. Assignment

- ☒ The prior application is assigned of record to XOMA Corporation and has been recorded at Reel No. 6759, Frame No. 651-2 (2 pages).

8. Small Entity Status

- ☐ Verified statement(s) claiming small entity status is(are) attached.
- ☒ Small entity status has been established in the prior application and is still proper and desired.

9. Fee Calculation

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$355.00		\$710.00
TOTAL	28 - 20	= 8	X 9 =	\$ 72.00	X 18 =	\$
INDEP.	13 - 3	= 10	X 40 =	\$400.00	X 80 =	\$
<input checked="" type="checkbox"/> First Presentation of Multiple Dependent Claim			+ 135 =	\$ 135.00	+ 270 =	\$
Filing Fee:				\$962.00	OR	\$

10. Method of Payment of Fees

- ☐ Attached is a check in the amount of: \$ _____
- ☒ Charge Deposit Account No. 13-0017 in the amount of: \$ 962.00
A copy of this Transmittal is enclosed.
- ☐ This continuation application is being filed without a fee.

11. Deposit Account and Refund Authorization

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees, other than the application filing fee, which may be required during the pendency of this application under 37 CFR §1.16 or 37 CFR §1.17 to Deposit Account No. 13-0017. A copy of this Transmittal is enclosed. Please refund any overpayment to McAndrews, Held & Malloy, Ltd. at the address below.

Please direct all future communications to:

Janet M. McNicholas, Ph.D.
McAndrews, Held & Malloy, Ltd.
500 W. Madison Street, 34th Floor
Chicago, Illinois 60661

Respectfully submitted,

McANDREWS, HELD & MALLOY, Ltd.
500 W. Madison Street, 34th Floor
Chicago, Illinois 60661
(312) 775-8000
(312) 775-8100 (Facsimile)

Date: August 27, 2001

By:



Janet M. McNicholas, Ph.D.
Reg. No. 32,918
Michael B. Harlin
Reg. No. 43,658

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Roger G. Little, II

Serial No.: Not yet assigned

Filed: Herewith

For: THERAPEUTIC USES OF
BACTERICIDAL/ PERMEABILITY
INCREASING (BPI) PROTEIN
PRODUCTS

Examiner: Not yet assigned

Group Art Unit: Not yet assigned

CERTIFICATION UNDER
37 CFR §1.10

I hereby certify that this document is
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2001 in an postage prepaid envelope
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J. R. Blackburn
Jimmie R. Blackburn

REQUEST TO USE COMPUTER READABLE
FORM FROM ANOTHER APPLICATION

BOX PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231

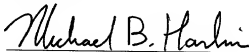
Sir:

Applicants request entry of the identical computer readable form from a related application. Specifically, the computer-readable form of the Sequence Listing in the above-identified application is identical to that in Application Serial No. 09/220,427, which has a filing date of December 24, 1998. The computer-readable form of the Sequence Listing in Application No. 09/220,427 was filed on November 8, 1999. In accordance with 37 C.F.R. 1.821(e), please use the computer-readable form of the Sequence Listing filed in that application as the computer-readable form in the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer-readable form of the Sequence Listing that will be used in the instant application. A

paper copy of the sequence listing is included in the originally-filed specification of the instant application.

DATED: August 27, 2001

Respectfully,



Janet M. McNicholas, Ph.D.

Registration No. 32,918

Michael B. Harlin

Registration No. 43,658

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